

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 04270/0202280-USO
		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/520056</b>
INTERNATIONAL APPLICATION NO. PCT/GB2003/002848	INTERNATIONAL FILING DATE 3 July 2003	PRIORITY DATE CLAIMED 3 July 2002
TITLE OF INVENTION    NUCLEAR HORMONE RECEPTOR		
APPLICANT(S) FOR DO/EO/US    Christopher B. Phelps et al.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - ☒ is attached hereto (required only if not communicated by the International Bureau).
  - ☐ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - ☐ is attached hereto.
  - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A preliminary amendment.
- ☒ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information: Affirmation of Claim for Priority and copy of PCT/IB/304; Certificate of Express Mailing

PTO-1390 (Rev. 12-2004)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <b>10/523336</b>		INTERNATIONAL APPLICATION NO. <b>PCT/GB2003/002848</b>		ATTORNEY'S DOCKET NUMBER <b>04270/0202280-US0</b>	
21. The following fees are submitted:					
<input checked="" type="checkbox"/>	a) Basic national fee .....			<b>\$300.00</b>	\$ 300.00
<input type="checkbox"/>	b) Examination fee .....			<b>\$200.00</b>	\$
<input type="checkbox"/>	c) Search fee .....			<b>\$500.00</b>	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1000.00</b>	\$ 300.00
<input checked="" type="checkbox"/>	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
101 - 100 =	1	/50 = 1		x \$250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).					\$
<b>CLAIMS</b>		<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	
Total claims		- 20 =		x	\$
Independent claims		- 3 =		x	\$
MULTIPLE DEPENDENT CLAIM(s) (if applicable)				+	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>					\$ 300.00
<input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				
<b>SUBTOTAL =</b>					\$ 300.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).					+
<b>TOTAL NATIONAL FEE =</b>					\$ 300.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					+
<b>TOTAL FEES ENCLOSED =</b>					\$ 300.00
					Amount to be refunded: \$
					Amount to be charged: \$
a.	<input checked="" type="checkbox"/>	A check in the amount of \$ 300.00 to cover the above fees is enclosed.			
b.	<input type="checkbox"/>	Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.			
c.	<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed.			
d.	<input type="checkbox"/>	Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Paul F. Fehlner, Ph.D. DARBY & DARBY P.C. P.O. Box 5257 New York, New York 10150-5257 (212) 527-7665 CUSTOMER NUMBER: 07278					
SIGNATURE: <u><i>Paul F. Fehlner</i></u> (53,135) Paul F. Fehlner, Ph.D.					
NAME					
REGISTRATION NUMBER 35,135					

10/520056  
DT15 Rec'd PCT/PTD 29 DEC 2004

Application No. (if known):

Attorney Docket No.: 04270/0202280-US0

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. \_\_\_\_\_ in an envelope addressed to:

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Transmittal Letter to the United States Designated-Elected Office (2 pages)

First Preliminary Amendment (3 pages)

Application Data Sheet (6pp)

Copy of Published Application - Specification (80pps (75pp and Sequence Listing 5pp); Claims (9pp); Figs. 1, 2 Part 1, 2 - Part 2, 3-16 (17 sheets)

Affirmation of Claim for Priority (1pp) and copy of PCT/IB/304

Check in the amount of \$300.00

Return receipt postcard